



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. RA043D2C6C2)

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TECHNICAL CENTER

In the Application of:

FARMWALD ET AL.

Serial No: 09/801,151

Filed: March 7, 2001

Title: MEMORY DEVICE HAVING A  
PROGRAMMABLE REGISTER

Assistant Commissioner for Patents  
Washington, DC 20231

) Group Art Unit: 2818

) Before

) Examiner: T. Nguyen

I hereby certify that this  
correspondence is being deposited  
with the United States Postal 1  
Service as first class mail with  
sufficient postage in an envelope  
addressed to the Commissioner of  
Patents and Trademarks, Washington,  
D.C. 20231 on October 24, 2001  
Michael S. Lee  
(Name of Person Mailing  
Correspondence)

Michael S. Lee 10-24-01  
Signature Date

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure set forth in 37  
C.F.R. §1.56, submitted herewith is a modified Form PTO-1449,  
including a copy of all of the documents listed therein.

It is believed that the Examiner may find the documents  
cited in the modified form PTO-1449 material to the  
patentability of one or more of the claims in the above-  
captioned application. Accordingly, it is respectfully  
requested that the Examiner make his consideration of these  
references formally of record with the initial Office Action.

It should be noted that the documents listed in the PTO-  
1449 have been brought to the Applicants' attention by way of:

- 1.) The plaintiff in a proceeding pending in U.S. District  
Court for the District of Delaware, namely in Micron  
Technology Inc. v. Rambus Inc. The plaintiff identified  
certain documents as prior art against the inventions  
claimed in a number of U.S. Patents which are related to  
the instant application via parent Application Serial No.

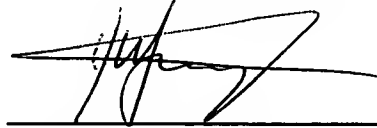
07/510,898. Certain documents listed in the form PTO-1449, are explicitly listed on pages 13-16 of PLAINTIFF MICRON TECHNOLOGY INC.'S IDENTIFICATION OF PRIOR ART PURSUANT TO 35 USC §282 (hereinafter "MICRON'S 282"). A copy of MICRON'S 282 is enclosed herewith.

- 2.) A NOTICE OF OPPOSITION to European Patent No. 1 022 642 B1, filed by opponent Micron Europe Ltd (hereinafter "OPPOSITION"). The '642 patent claims priority benefit to U.S. Application Serial No. 07/510,898--the parent of the instant application. A copy of the OPPOSITION is also enclosed herewith. It should be noted that one of the documents listed on the PTO-1449 is explicitly referenced on page 41 of the OPPOSITION, namely an explicit reference is made to Japanese Laid-Open Patent Publication (JP-01-284132) (hereinafter "JP PUBLICATION") and an English translation. A copy of the English translation accompanies the JP PUBLICATION.
- 3.) The plaintiff in a proceeding pending in U.S. District Court for the Northern District of California, San Jose Division, namely in Hynix et al., v. Rambus Inc. The plaintiff identified certain documents as prior art against the inventions claimed in a number of U.S. Patents which are related to the instant application via parent Application Serial No. 07/510,898. Some of the Documents listed in the PTO-1449, are referenced in EXHIBIT A of HYNIX' PRELIMINARY INVALIDITY CONTENTIONS (hereinafter "HYNIX' CONTENTIONS")--specifically on pages 1, and 4-6 of EXHIBIT A and also in select portions of HYNIX' CONTENTIONS. A copy of HYNIX' CONTENTIONS including EXHIBIT A is also enclosed herewith.

The Commissioner is hereby authorized to charge any fees which may be required in connection with this submission to Deposit Account No. 50-0998. A duplicate copy of this document is enclosed.

Respectfully submitted,

Date: Oct 24/01



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Jose G. Moniz  
(Limited Recognition Certificate  
under 37 C.F.R. §10.9(b) attached)

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